

## Return your information:



### By Mail:

Computershare Investor Services Pty Limited  
GPO Box 2106 Melbourne  
Victoria 3001 Australia

## Enquiries:



(within Australia) 1300 850 505  
(international) 61 3 9415 4000



[www.investorcentre.com/contact](http://www.investorcentre.com/contact)

## Tax Residency Self-Certification Form - ENTITY

As a result of the Australian Government agreeing to participate in the exchange of information with other jurisdictions under the Foreign Account Tax Compliance Act (FATCA)<sup>1</sup> and Common Reporting Standard (CRS)<sup>2</sup>, Financial Institutions are required to undertake due diligence to determine account holders' jurisdiction of tax residence. You can provide this information by completing this form. If the account is in the name of an individual or joint individuals, or an Australian Retirement Fund, go online at [www.computershare.com/au/selfcertification](http://www.computershare.com/au/selfcertification)

If you have received this form it is important the account holder's jurisdiction of tax residence is certified. If not certified, the Financial Institution in which you are a securityholder may be required to report the securityholder's name, address, amounts paid to the account and value of securities to the Australian Taxation Office who may report those details to the Internal Revenue Service of the United States and possibly other tax authorities.

If the entity certifies they are a tax resident outside of Australia or in the case of a Passive Non-Financial Entity, any of their controlling persons are certified as a tax resident outside of Australia, the Financial Institution in which you are a securityholder may be required to report the securityholder's name, address, amounts paid to the securityholder and value of securities to the Australian Taxation Office who may report those details to the tax authority in which you are a tax resident.

### Privacy notice

Personal information is collected by Computershare for the purpose of maintaining registers of securityholders as required or permitted by the Corporations Act 2001 and other legislation. Your personal information may be disclosed to our related bodies corporate, to external service companies such as print or mail service providers, or as otherwise required or permitted by law. It may also be disclosed to third parties including the Australian Taxation Office and regulatory bodies located in the United States. If you would like details of your personal information held by Computershare, or would like to correct information that is inaccurate, incorrect or out of date, please contact Computershare using the details provided on the front of this form or email [privacy@computershare.com.au](mailto:privacy@computershare.com.au)

1 Further information about the requirement to collect this information is available from [www.ato.gov](http://www.ato.gov) (enter in search 'guidance FATCA')  
2 Further information about the requirement to collect this information is available from [www.ato.gov](http://www.ato.gov) (enter in search 'guidance CRS')

## Guide for completing the Tax Residency Self-Certification form - ENTITY

**1** a. **Australian Retirement Fund.** Trusts (including Australian Self-Managed Superannuation Funds) cannot appear on the register as a Trust. Accordingly, it is industry practice to register Trusts in the name of the Trustees or a Financial Institution. If the securityholding is for an Australian Retirement Fund where the registered securityholder is **NOT** a Financial Institution, tick the 'YES' box then go to Section 6.

**2** a. If the entity is a U.S. Entity and is a Specified U.S. Person tick the 'YES' box and provide the entity's U.S. Taxpayer Identification Number, then go to Section 2b to identify any other tax jurisdictions. If the entity is a U.S. Entity and is **not** a Specified U.S. Person tick the 'NO' box, then go to Section 2b to identify any other tax jurisdictions.

b. Entities outside of the U.S. Please indicate the entity's jurisdiction of tax residence (other than the U.S. identified above). If a tax resident in more than one jurisdiction, please detail all jurisdictions of tax residence in the table below. If the entity is not a tax resident in any jurisdiction, (e.g. because it is fiscally transparent), please provide, in the table, its place of effective management or the jurisdiction in which its principal office is located.

If the entity is a tax resident in more than 3 jurisdictions, write additional information on a copy of this form or on a separate statement.

c. If you completed the table in 2b and did not provide a TIN for one of those jurisdictions and you selected reason code B for not having a TIN, write the reason you do not have a TIN here.

Upon completing Section 2, proceed to section 3 if you are a Financial Institution, or Section 4 if **not** a Financial Institution.

**3** a. If the entity is a Financial Institution under FATCA and has a Global Intermediary Identification Number (GIIN) tick box 3a to indicate this and write the GIIN. Also Answer 3c to indicate if the entity is an Investment Entity located in a non-participating CRS jurisdiction and managed by another Financial Institution.

b. If the entity is a Financial Institution under FATCA **without** a Global Intermediary Identification Number (GIIN) tick box 3b to indicate this and then tick the box that identifies the type of Financial Institution. Also answer 3c to indicate if the entity is an Investment Entity located in a non-participating CRS jurisdiction and managed by another Financial Institution.

c. If the entity is a Financial Institution under CRS that is an Investment Entity located in a non-participating jurisdiction and managed by another Financial Institution tick the 'YES' box, otherwise tick the 'NO' box. If you ticked the 'YES' box the entity is considered a Passive Non-Financial Entity so go to Section 5. If you ticked the 'NO' box, tick the box to indicate whether the Financial Institution is a Reporting Financial Institution and then go to Section 6.

**4** a. If the entity is an Active Non-Financial Entity that is **NOT** a Financial Institution tick the box to indicate the type of Active Non-Financial Entity then go to Section 6.

b. If the entity is a Passive Non-Financial Entity that is **NOT** a Financial Institution tick the box to indicate this and then go to Section 5.

**5** a. If the Securityholding is in respect of a Trust (other than an Australian Retirement Fund or Financial Institution), tick the box to indicate whether any of the trustees, beneficiaries, appointers or settlers of the trust are U.S. citizens or residents of the U.S. for tax purposes **OR** are tax residents outside of Australia. If you ticked the 'YES' box complete the details in 5b. If you ticked the 'NO' box go to Section 6.

If the Securityholding is in respect of a Company or other entity (other than an Australian Retirement Fund or Financial Institution), tick the box to indicate whether any of the directors or beneficial owners (who own 25% or more controlling shares of the company) are U.S. citizens or residents of the U.S. for tax purposes **OR** are tax residents outside of Australia. If you ticked the 'YES' box complete the details in 5 b). If you ticked the 'NO' box go to Section 6.

b. If you ticked the 'YES' box in 5a, complete the details in this table.

c. If you completed the table in 5b and did not provide a TIN for one of those jurisdictions and you selected reason code B for not having a TIN, write the reason the Entity does not have a TIN here.

**6** a. The signatory to the form must be an authorised representative of the entity and agrees to the certification acknowledgement, undertaking and authorisation by signing the form. Write the name of the person signing the form.

b. Write the contact name, telephone number and date the form was executed.



### Important Note:

If you certify the entity is a tax resident in Australia whereas our records show it has an address or bank account outside of Australia, you will need to send documentation supporting the entity's tax residency in Australia. If that is the case, please send a certified copy of one of the following documents with this self-certification form.

**Certification of Incorporation / Audited Annual Report / Audited Financial Statement / Council rates in the name of the entity / Certificate of residency**

## Return your information:

 **By Mail:**  
Computershare Investor Services Pty Limited  
GPO Box 2106 Melbourne  
Victoria 3001 Australia

Company or Trust in which security is held

Registered Name(s)

Registered Address

  
  


## Enquiries:

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**Securityholder Reference Number (SRN)  
or Holder Identification Number (HIN)**

## Tax Residency Self-Certification Form - ENTITY

### 1 AUSTRALIAN RETIREMENT FUND

Complete this section if the Entity is an **Australian Retirement Fund (including an Australian Self-Managed Superannuation Fund) where the registered securityholder is NOT a Financial Institution.**

a. YES  If you are an Australian Retirement Fund and the registered securityholder of the Retirement Fund is NOT a Financial Institution, tick YES.

Go to Section 6.

### 2 JURISDICTION OF TAX RESIDENCY

a. **U.S. Entities Only** - If your organization is a corporation or partnership incorporated or organized in the United States or a trust considered a Specified U.S. Person, is the entity a Specified U.S. Person for U.S. tax purposes? YES  NO

If YES, provide the Entity's U.S. Taxpayer Identification Number (TIN):

b. Please indicate the entity's jurisdiction of tax residence (other than the U.S. identified above). If a tax resident in more than one jurisdiction, please detail all jurisdictions of tax residence in the table below. If you are a tax resident in Australia, write Australia in the table below, but do not provide your Australian Tax File Number.

	Jurisdiction of tax residence (country)	Tax Identification Number (TIN) or equivalent (do not provide your Australian Tax File Number)	*Reason Code if no TIN provided
1			
2			
3			

\* If a Taxpayer Identification Number (TIN) or equivalent is not provided, please provide the appropriate reason:

**Reason A** - The jurisdiction of the entity's tax residence does NOT issue TINs to its residents.

**Reason B** - The jurisdiction of the entity's tax residence DOES issue TINs, however my tax status enables me to NOT obtain a TIN. (Please explain in the table below the reason you are not required to obtain a TIN).

**Reason C** - No TIN is required. (Only select this reason if the domestic law of the relevant jurisdiction does not require the TIN to be disclosed).

c. **If Reason B has been selected above, explain why the entity is not required to obtain a TIN**

1	
2	
3	

Go to Section 3 if the entity is a Financial Institution or Section 4 if the entity is NOT a Financial Institution.

### 3 FINANCIAL INSTITUTION UNDER FATCA and CRS Complete this section if the Entity is a Financial Institution.

Select either a or b and then answer c if the Entity is a Financial Institution for FATCA purposes

a.  A Financial Institution **with** a GIIN (a custodial or depository institution, an investment entity or a specified insurance company)

Provide the entity's Global Intermediary Identification Number (GIIN):

b.  A Financial Institution **without** a GIIN, select one appropriate FATCA status from the following:

Deemed Compliant Foreign Financial Institution

Non-Participating Foreign Financial Institution

Excepted Financial Institution

Exempt Beneficial Owner

c. Is the Financial Institution an Investment Entity located in a non-participating CRS jurisdiction and managed by another Financial Institution?

YES  If YES, go to Section 5

NO  If NO, is the Entity a Reporting Financial Institution?

YES  NO

Go to Section 6.

## 4 ACTIVE OR PASSIVE NON-FINANCIAL ENTITY Complete this section if the Entity is NOT a Financial Institution.

Select either a or b to identify the type of Non-Financial Institution.

a.  An Active Non-Financial Entity that is NOT a Financial Institution

A Corporation the stock of which is regularly traded on an established securities market or a Related Entity of such a Corporation

An International Organisation

A Governmental Entity or Central Bank

Other Active Non-Financial Entity

If you have selected Active Non-Financial Entity, go to Section 6.

b.  A Passive Non-Financial Entity - Other entity (an entity that has not been previously listed)

If you have selected Passive Non-Financial Entity, go to Section 5.

## 5 FOREIGN CONTROLLING PERSONS

Complete this section if the Entity is a **Passive Non-Financial Entity OR an Investment Entity located in a non-participating jurisdiction and managed by another Financial Institution.**

a. **Trusts:** Are any of the trustees, beneficiaries, appointors or settlers of the trust U.S. citizens or residents of the U.S. for tax purposes, OR tax residents outside of Australia?

**Companies or other entities:** Are any of the directors or beneficial owners (who own 25% or more controlling shares) of the company U.S. citizens or residents of the U.S. for tax purposes, OR tax residents outside of Australia?

YES  If YES complete the table below

NO  If 'NO', go to Section 6.

No.	Name	Date Of Birth (Day/Month/Year)	Residential Address	Jurisdiction of Tax residence	Taxpayer Identification Number (TIN or equivalent)	*Reason Code if no TIN provided
1						
2						
3						

\* If a Taxpayer Identification Number (TIN) or equivalent is not provided, please provide the appropriate reason:

**Reason A** - The jurisdiction of the securityholder's tax residence does NOT issue TINs to its residents.

**Reason B** - The jurisdiction of the securityholder's tax residence DOES issue TINs, however my tax status enables me to NOT obtain a TIN. (Please explain in the table below the reason you are not required to obtain a TIN).

**Reason C** - No TIN is required. (Only select this reason if the domestic law of the relevant jurisdiction does not require the TIN to be disclosed).

c. If Reason B has been selected above, explain why the securityholder is not required to obtain a TIN

1	
2	
3	

Go to Section 6.

## 6 CERTIFICATION - To be completed by an authorised representative of the entity, such as a Director or Trustee

a. I acknowledge that the information contained in this form may be reported to the Australian Taxation Office and exchanged with tax authorities of another jurisdiction or jurisdictions in which the entity may be a tax resident where those jurisdictions have entered into Agreements to exchange Financial Account information.

I undertake to provide Computershare (on behalf of the Financial Institution) with a suitably updated self-certification within 30 days of any change in circumstances, which causes the information contained herein to become incorrect.

I declare that I am authorized to make this Certification and I have examined the information on this form and to the best of my knowledge and belief it is true, correct and complete. **This form must be signed and dated for us to accept as proper certification.**

Authorised Signatory

Name

Please Note: If you are signing this form on behalf of the entity as their attorney under a Power of Attorney, you confirm that you have not been advised that the power of Attorney has been revoked. A certified copy of the Power of Attorney, if not already noted by Computershare, must be lodged with this form to allow processing.

b.  / /

Contact Name

Contact Telephone Number

Day / Month / Year

Please return the completed form to: Computershare Investor Services Pty Limited GPO Box 2106 Melbourne Victoria 3001 Australia. If you need help in completing this form we recommend you consult with your professional advisor.