

## Return your information:



### By Mail:

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## Tax Residency Self-Certification Form - ENTITY

As a result of the Australian Government agreeing to participate in the exchange of information with other jurisdictions under the Foreign Account Tax Compliance Act (FATCA)<sup>1</sup> and Common Reporting Standard (CRS)<sup>2</sup>, Financial Institutions are required to undertake due diligence to determine account holders' jurisdiction of tax residence. You can provide this information by completing this form. If the account is in the name of an individual or joint individuals, or an Australian Retirement Fund, go online at [www.computershare.com/au/selfcertification](http://www.computershare.com/au/selfcertification)

If you have received this form it is important the account holder's jurisdiction of tax residence is certified. If not certified, the Financial Institution in which you are a securityholder may be required to report the securityholder's name, address, amounts paid to the account and value of securities to the Australian Taxation Office who may report those details to the Internal Revenue Service of the United States and possibly other tax authorities.

If the entity certifies they are a tax resident outside of Australia or in the case of a Passive Non-Financial Entity, any of their controlling persons are certified as a tax resident outside of Australia, the Financial Institution in which you are a securityholder may be required to report the securityholder's name, address, amounts paid to the securityholder and value of securities to the Australian Taxation Office who may report those details to the tax authority in which you are a tax resident.

### Privacy notice

Personal information is collected by Computershare for the purpose of maintaining registers of securityholders as required or permitted by the Corporations Act 2001 and other legislation. Your personal information may be disclosed to our related bodies corporate, to external service companies such as print or mail service providers, or as otherwise required or permitted by law. It may also be disclosed to third parties including the Australian Taxation Office and regulatory bodies located in the United States. If you would like details of your personal information held by Computershare, or would like to correct information that is inaccurate, incorrect or out of date, please contact Computershare using the details provided on the front of this form or email [privacy@computershare.com.au](mailto:privacy@computershare.com.au)

1 Further information about the requirement to collect this information is available from [www.ato.gov](http://www.ato.gov) (enter in search 'guidance FATCA')  
2 Further information about the requirement to collect this information is available from [www.ato.gov](http://www.ato.gov) (enter in search 'guidance CRS')

## Guide for completing the Tax Residency Self-Certification form - ENTITY

**1** a. **Australian Retirement Fund.** Trusts (including Australian Self-Managed Superannuation Funds) cannot appear on the register as a Trust. Accordingly, it is industry practice to register Trusts in the name of the Trustees or a Financial Institution. If the securityholding is for an Australian Retirement Fund where the registered securityholder is **NOT** a Financial Institution, tick the 'YES' box then go to Section 6.

**2** a. If the entity is a U.S. Entity and is a Specified U.S. Person tick the 'YES' box and provide the entity's U.S. Taxpayer Identification Number, then go to Section 2b to identify any other tax jurisdictions. If the entity is a U.S. Entity and is **not** a Specified U.S. Person tick the 'NO' box, then go to Section 2b to identify any other tax jurisdictions.

b. Entities outside of the U.S. Please indicate the entity's jurisdiction of tax residence (other than the U.S. identified above). If a tax resident in more than one jurisdiction, please detail all jurisdictions of tax residence in the table below. If the entity is not a tax resident in any jurisdiction, (e.g. because it is fiscally transparent), please provide, in the table, its place of effective management or the jurisdiction in which its principal office is located.

If the entity is a tax resident in more than 3 jurisdictions, write additional information on a copy of this form or on a separate statement.

c. If you completed the table in 2b and did not provide a TIN for one of those jurisdictions and you selected reason code B for not having a TIN, write the reason you do not have a TIN here.

Upon completing Section 2, proceed to section 3 if you are a Financial Institution, or Section 4 if **not** a Financial Institution.

**3** a. If the entity is a Financial Institution under FATCA and has a Global Intermediary Identification Number (GIIN) tick box 3a to indicate this and write the GIIN. Also Answer 3c to indicate if the entity is an Investment Entity located in a non-participating CRS jurisdiction and managed by another Financial Institution.

b. If the entity is a Financial Institution under FATCA **without** a Global Intermediary Identification Number (GIIN) tick box 3b to indicate this and then tick the box that identifies the type of Financial Institution. Also answer 3c to indicate if the entity is an Investment Entity located in a non-participating CRS jurisdiction and managed by another Financial Institution.

c. If the entity is a Financial Institution under CRS that is an Investment Entity located in a non-participating jurisdiction and managed by another Financial Institution tick the 'YES' box, otherwise tick the 'NO' box. If you ticked the 'YES' box the entity is considered a Passive Non-Financial Entity so go to Section 5. If you ticked the 'NO' box, tick the box to indicate whether the Financial Institution is a Reporting Financial Institution and then go to Section 6.

**4** a. If the entity is an Active Non-Financial Entity that is **NOT** a Financial Institution tick the box to indicate the type of Active Non-Financial Entity then go to Section 6.

b. If the entity is a Passive Non-Financial Entity that is **NOT** a Financial Institution tick the box to indicate this and then go to Section 5.

**5** a. If the Securityholding is in respect of a Trust (other than an Australian Retirement Fund or Financial Institution), tick the box to indicate whether any of the trustees, beneficiaries, appointers or settlers of the trust are U.S. citizens or residents of the U.S. for tax purposes **OR** are tax residents outside of Australia. If you ticked the 'YES' box complete the details in 5b. If you ticked the 'NO' box go to Section 6.

If the Securityholding is in respect of a Company or other entity (other than an Australian Retirement Fund or Financial Institution), tick the box to indicate whether any of the directors or beneficial owners (who own 25% or more controlling shares of the company) are U.S. citizens or residents of the U.S. for tax purposes **OR** are tax residents outside of Australia. If you ticked the 'YES' box complete the details in 5 b). If you ticked the 'NO' box go to Section 6.

b. If you ticked the 'YES' box in 5a, complete the details in this table.

c. If you completed the table in 5b and did not provide a TIN for one of those jurisdictions and you selected reason code B for not having a TIN, write the reason the Entity does not have a TIN here.

**6** a. The signatory to the form must be an authorised representative of the entity and agrees to the certification acknowledgement, undertaking and authorisation by signing the form. Write the name of the person signing the form.

b. Write the contact name, telephone number and date the form was executed.



### Important Note:

If you certify the entity is a tax resident in Australia whereas our records show it has an address or bank account outside of Australia, you will need to send documentation supporting the entity's tax residency in Australia. If that is the case, please send a certified copy of one of the following documents with this self-certification form.

**Certification of Incorporation / Audited Annual Report / Audited Financial Statement / Council rates in the name of the entity / Certificate of residency**



